

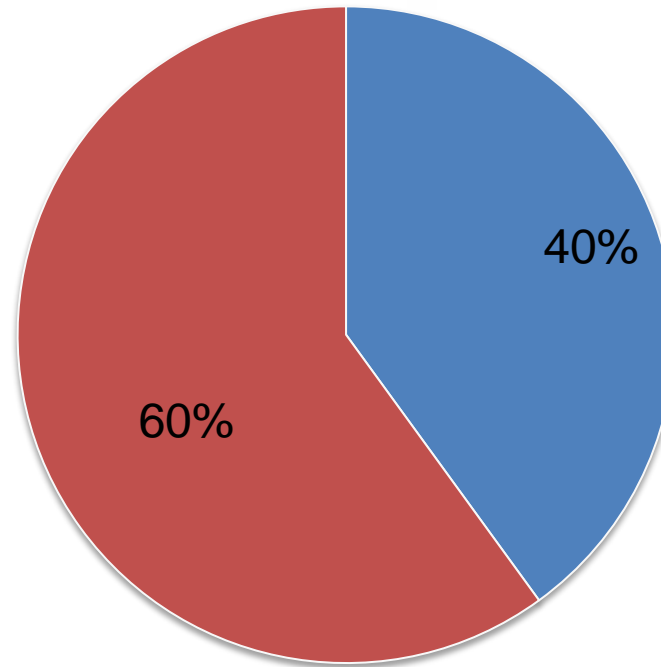


# Settlement C Theme Polling Results

Market Settlements Subcommittee  
March 17, 2016

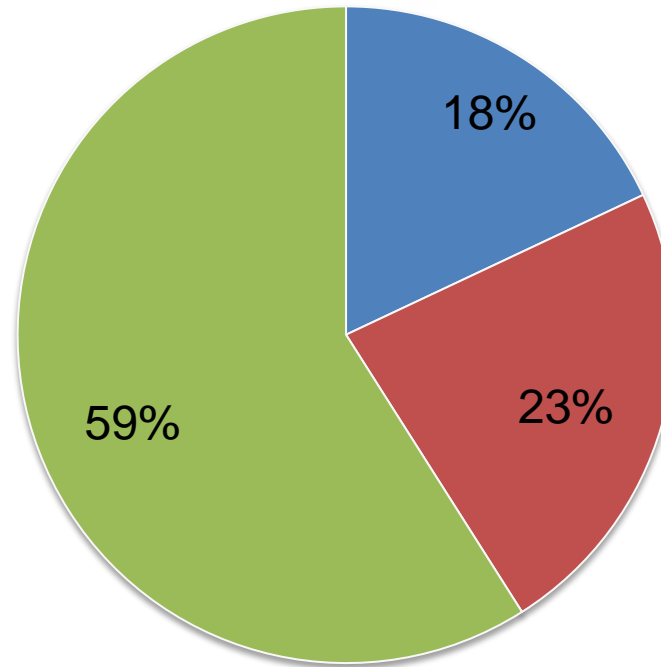
- Purpose of the poll is to determine whether or not the Subcommittee wants to move forward with the Settlement C issue, and if so, provide the package owners and Subcommittee members information on where interest lies for the various design components
- 119 responses received, 22 unique responders

## Is the lack of a Settlement C a problem worth pursuing?



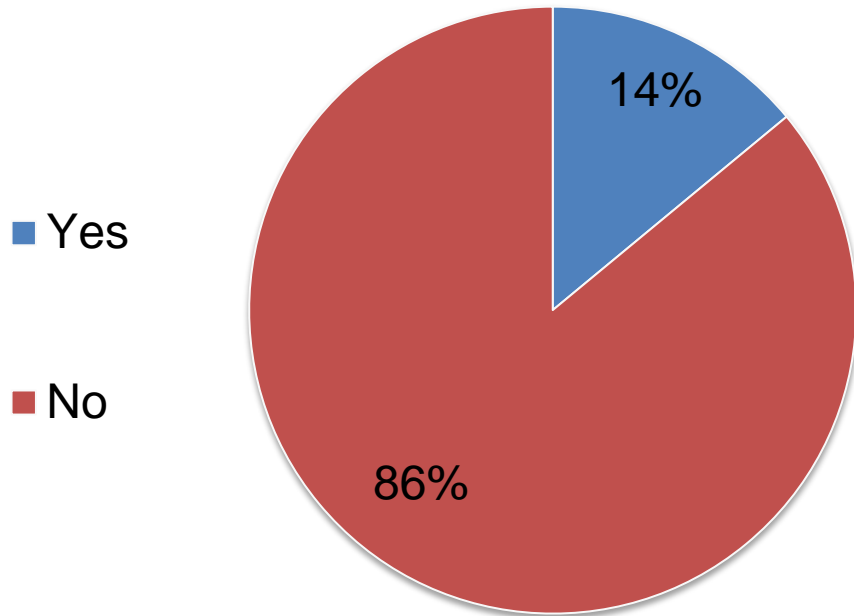
- Yes the current process has issues and we need to work towards a solution
- No - Maintain Status Quo

## What consent should be required for Settlement C?



- 100% consent by all affected LSEs
- No consent required
- No consent required but with a dispute process in place

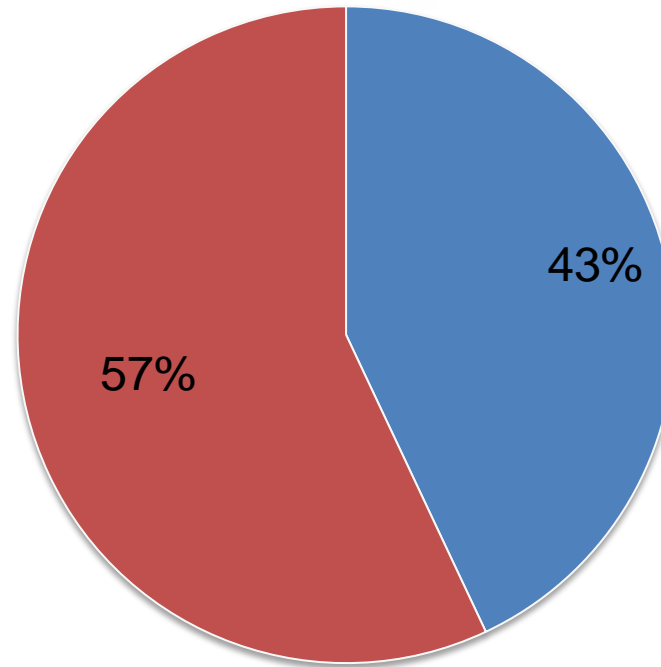
## Should Settlement C billing impact meter error corrections only?



### What other reasons should be allowed for a Settlement C rerun?

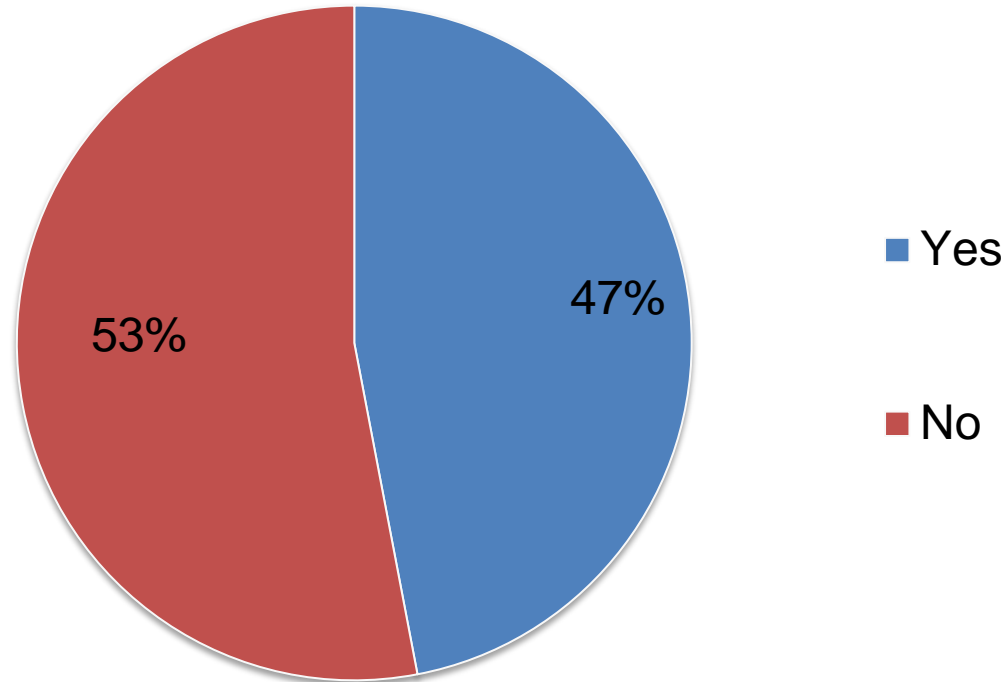
- Anything that changes a Supplier's kwh energy obligation should allow for Settlement C. For example, misassigned accounts, incorrect loss factor, incorrect load profile assignment , etc
- billing errors, enrollment errors, meter estimates
- Incorrect Account Assignments
- At the very least, we also know that incorrect assignment of customer accounts has had an impact.
- Any cause of an incorrect allocation of load responsibilities; e.g., incorrect UFE allocation, settlement / metering system failure, profile error, etc
- Any situation that results in an incorrect allocation of load responsibilities would result in the opportunity for a Settlement C, i.e., customer switching problems caused by upstream billing system problems, use of estimated profiles that could not be reconciled with actual data due to problems, system failures, and any other such problems that are not detected by the 60-Day Settlement B Reconciliation deadlines.
- Correction of any error in load allocations
- Any situation that requires a resettlement should be included in this process.EX: Billing or EDI items/issues
- system errors
- material changes to LMP

## Who are the impacted parties of a Settlement C rerun?



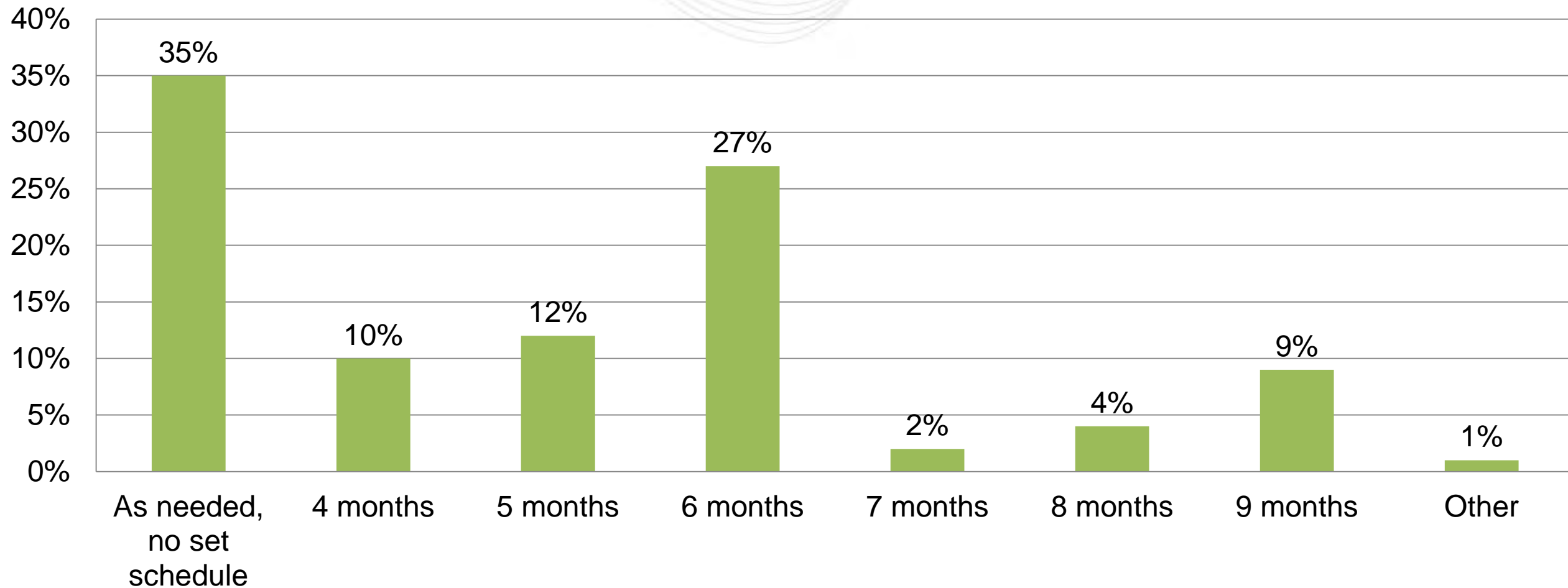
- Only the EDCs, LSEs (wholesale and retail) who are serving load or directly affected within the EDC zone
- Potentially all market participants in PJM due to the inclusion of load reconciliation data in certain charge allocations (e.g. Balancing Operating Reserve Charges for deviations)

**Do you support changing the Load Reconciliation (Settlement B) deadline for EDCs, so LSEs would have the opportunity to review the data before PJM executes the Settlement B Load Reconciliation process?  
For example, if EDCs were required to submit load rec**



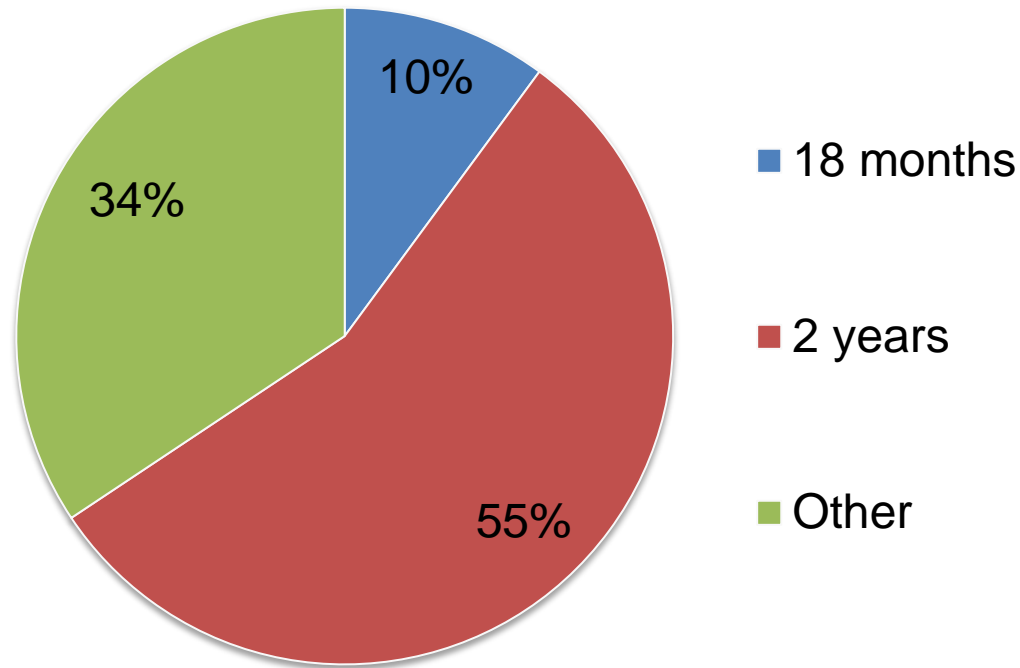


**Which data submittal timeframe selections do you support, if any? Please select up to 3 choices, ranking them 1-3, with 1 being the most preferred selection. The Settlement C processing lag is in relation to the original billing month. For example, Feb**





### Which deadline do you support for Settlement C adjustments?

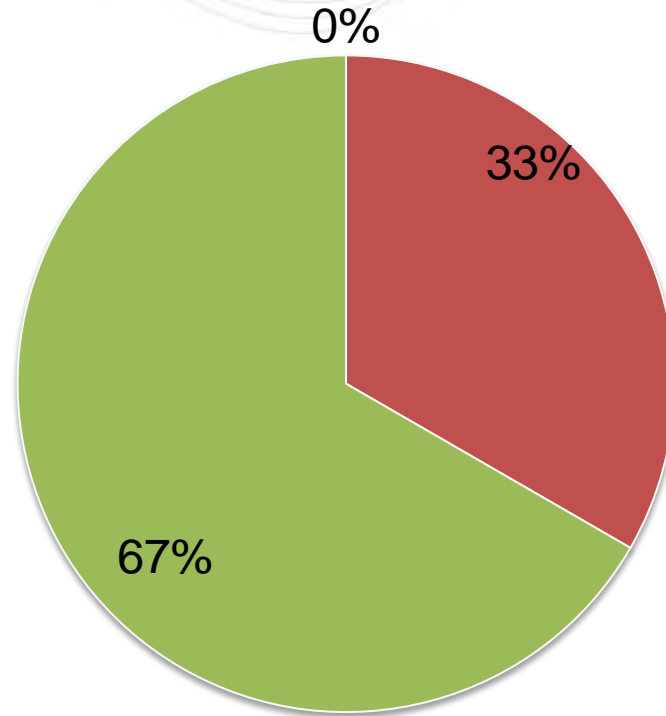


### If other, which deadline do you support for Settlement C adjustments?

- Our understanding of this question is that it applies to the current Settlement C voluntary process, which we see no reason to change
- 1 year
- 6 months
- None

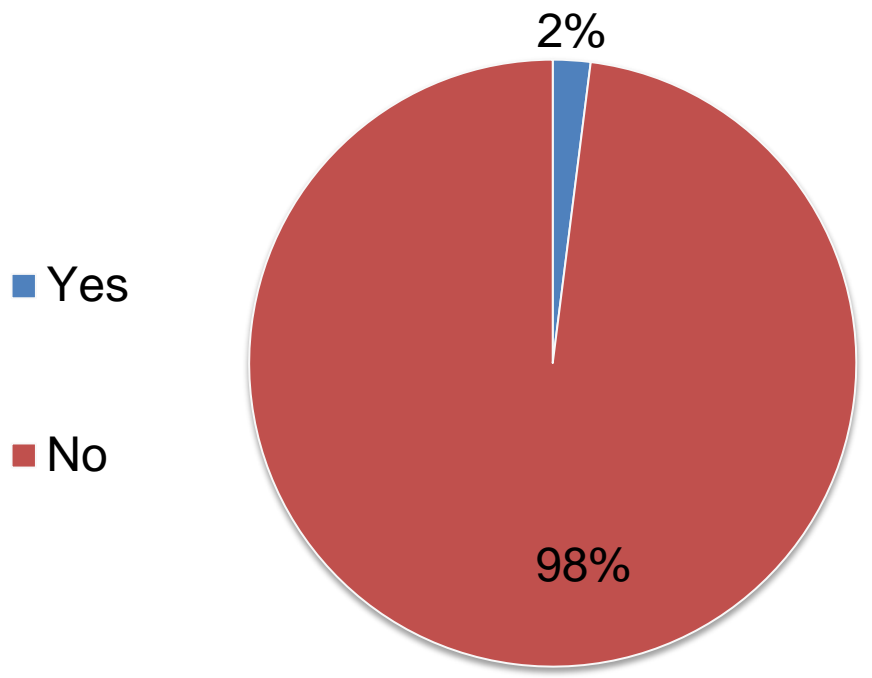
- Majority support for maintaining status quo and not pursuing a solution for Settlement C.

## What consent should be required for Settlement C?



- 100% consent by all affected LSEs
- No consent required
- No consent required but with a dispute process in place

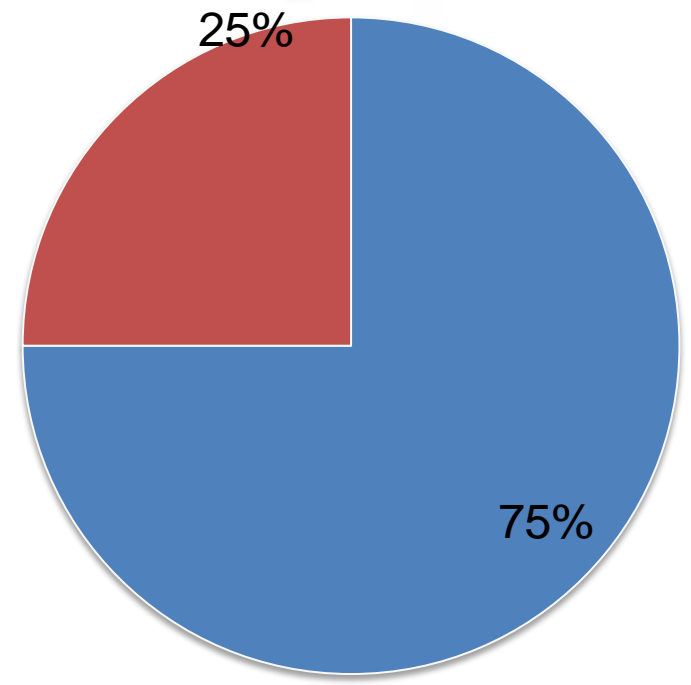
## Should Settlement C billing impact meter error corrections only?



### What other reasons should be allowed for a Settlement C rerun?

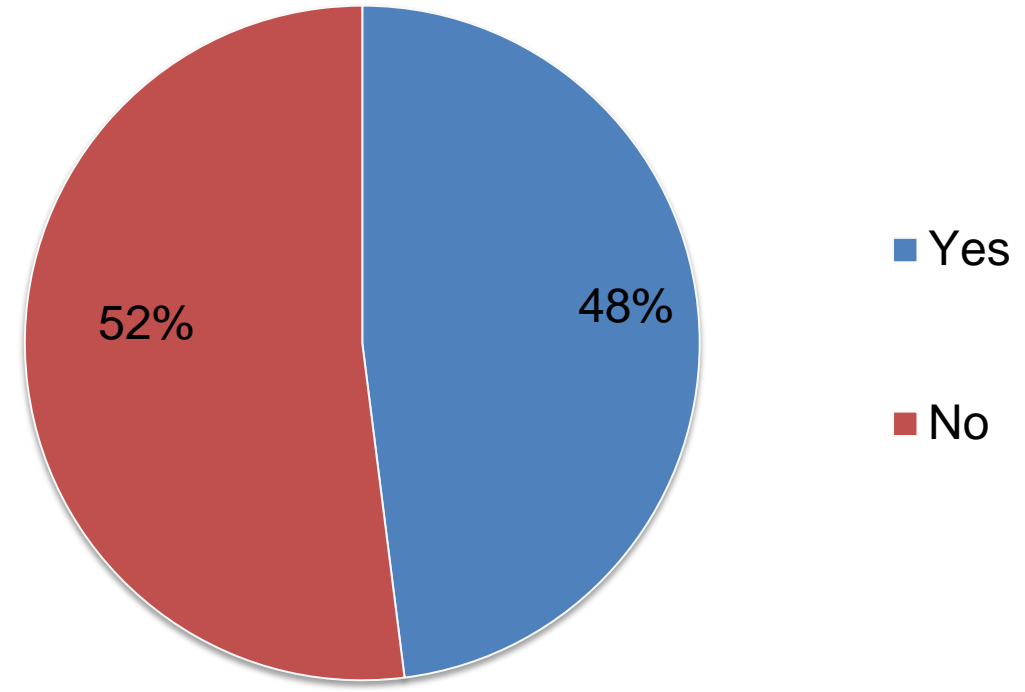
- Anything that changes a Supplier's kwh energy obligation should allow for Settlement C. For example, misassigned accounts, incorrect loss factor, incorrect load profile assignment , etc
- billing errors, enrollment errors, meter estimates
- Incorrect Account Assignments
- At the very least, we also know that incorrect assignment of customer accounts has had an impact.
- Any cause of an incorrect allocation of load responsibilities; e.g., incorrect UFE allocation, settlement / metering system failure, profile error, etc
- Any situation that results in an incorrect allocation of load responsibilities would result in the opportunity for a Settlement C, i.e., customer switching problems caused by upstream billing system problems, use of estimated profiles that could not be reconciled with actual data due to problems, system failures, and any other such problems that are not detected by the 60-Day Settlement B Reconciliation deadlines.
- Correction of any error in load allocations
- Any situation that requires a resettlement should be included in this process.EX: Billing or EDI items/issues
- system errors
- material changes to LMP

## Who are the impacted parties of a Settlement C rerun?

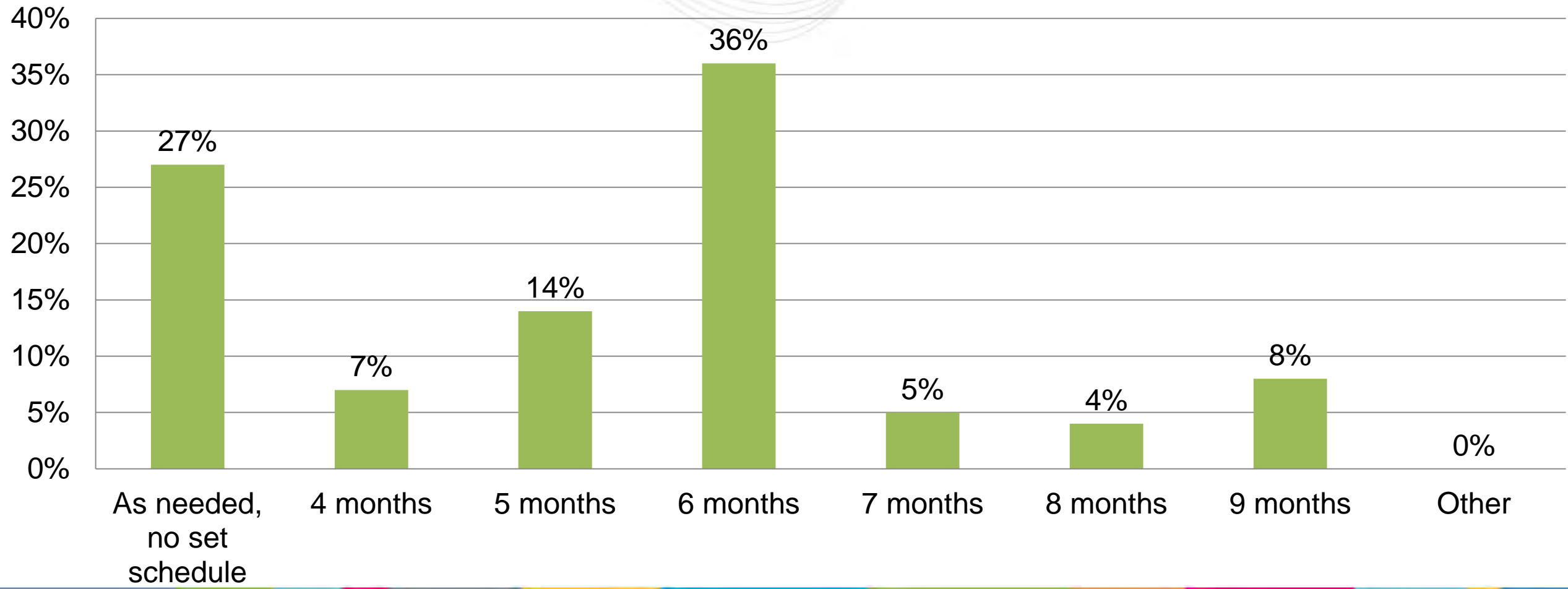


- Only the EDCs, LSEs (wholesale and retail) who are serving load or directly affected within the EDC zone
- Potentially all market participants in PJM due to the inclusion of load reconciliation data in certain charge allocations (e.g. Balancing Operating Reserve Charges for deviations)

**Do you support changing the Load Reconciliation (Settlement B) deadline for EDCs, so LSEs would have the opportunity to review the data before PJM executes the Settlement B Load Reconciliation process?  
For example, if EDCs were required to submit load rec**

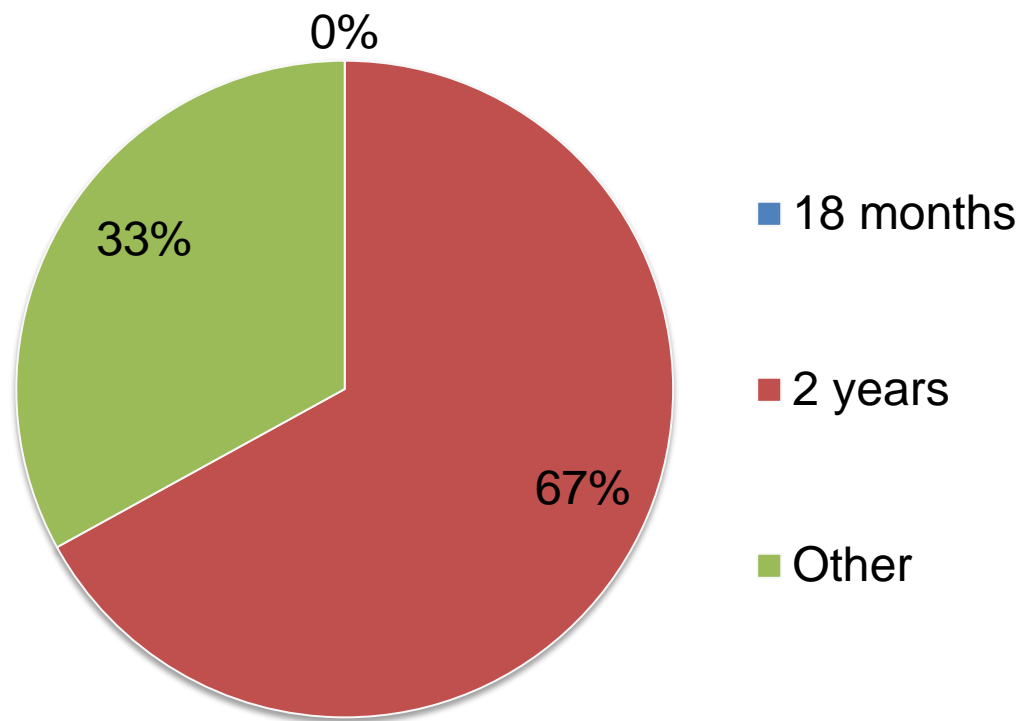


**Which data submittal timeframe selections do you support, if any? Please select up to 3 choices, ranking them 1-3, with 1 being the most preferred selection. The Settlement C processing lag is in relation to the original billing month. For example, Feb**





## Which deadline do you support for Settlement C adjustments?



## If other, which deadline do you support for Settlement C adjustments?

- Our understanding of this question is that it applies to the current Settlement C voluntary process, which we see no reason to change
- 1 year
- 6 months
- None